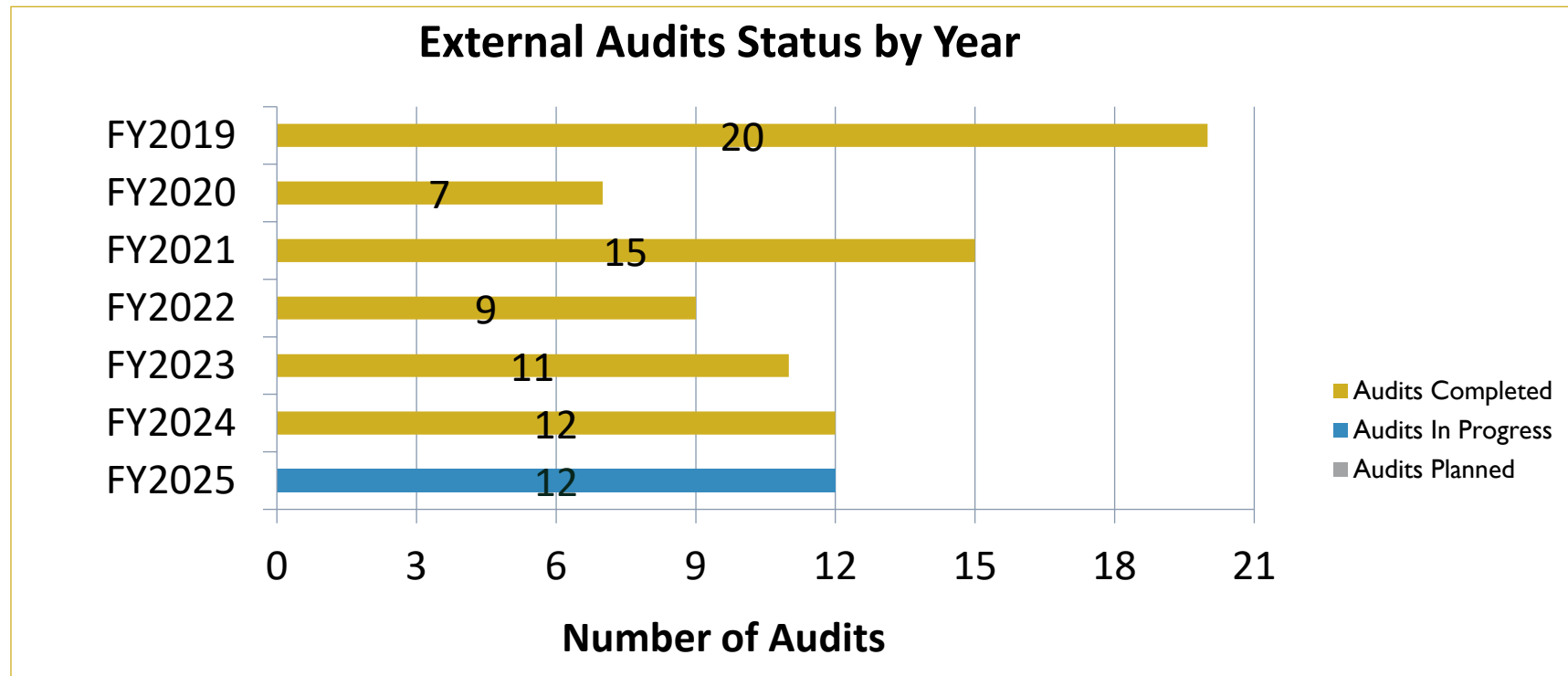


Quarterly External Audit and Remediation Status

Presented By
Trevor Williams, Chief Financial Officer
April 22, 2025

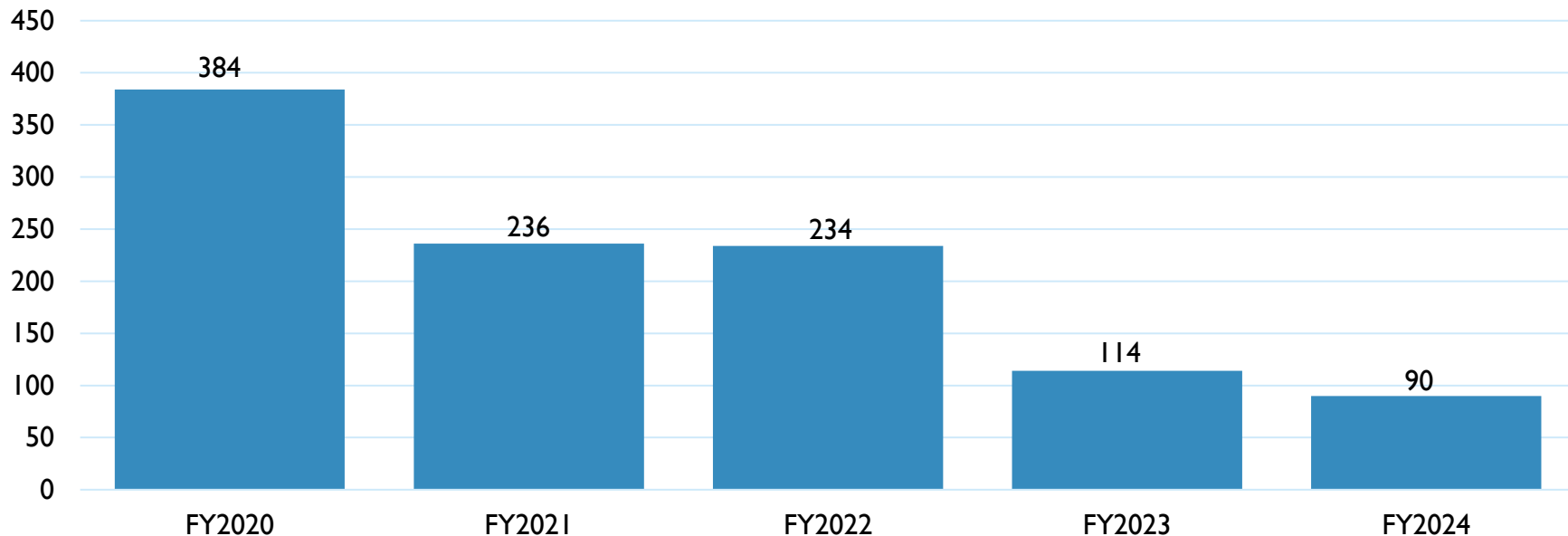
External Audit Activity (FY2019-2025)



External Audit Status

Audits Completed (FY2025)	Audits in Progress (FY2025)	Audits Planned (FY2025)
<div data-bbox="256 546 486 713"> <p>Auditor Legend</p> <p>EBSA</p> <p>Sikich</p> <p>Williams Adley</p> <p>GAO</p> </div>	<ol style="list-style-type: none"> 1. Use of Minority and Women-owned Asset Management Firms 2. Access and Data Security Controls 3. Information Protection Processes and Procedures 4. Service Provider TSP Operations – DFAS 5. Investment Management Operations – BlackRock 6. Board Staff 7. Detection and Monitoring Security Controls 8. Vendor Risk Management and Agency Oversight Controls 9. Status of Prior Year Findings 10. CaMI Security Controls 11. FISMA (FY2025) 12. Annual F/S Audit (CY2024) 	

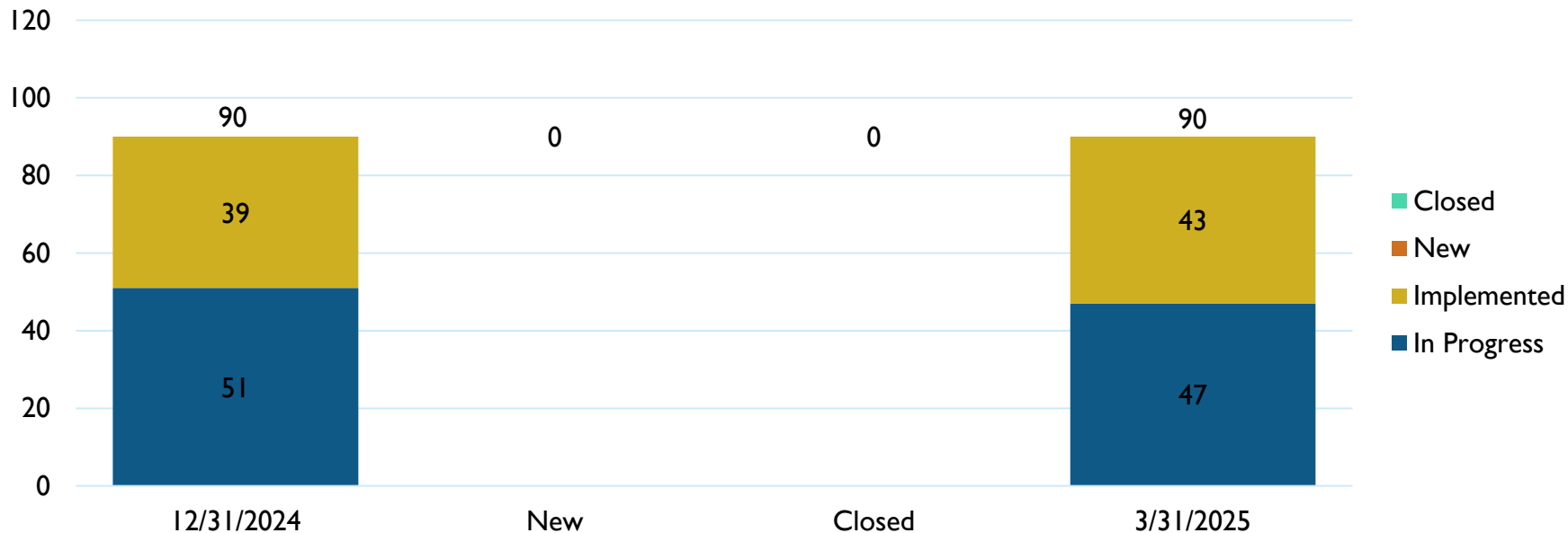
Open External Auditor Recommendations FY2020 – FY2024



Audit activity includes external audit results from Dept. of Labor (EBSA), Financial Statement Audit, GAO reviews, and FISMA.

External Auditor Recommendations

FY2025 Q2 Activity



Audit activity includes external audit results from Dept. of Labor (EBSA), Financial Statement Audit, GAO reviews, and FISMA.

* In Progress includes 6 agency non-concurs.